Change Management Plan Watson School of Education

> MIT 530 Dr. Sue-Jen Chen

> > Fran Strauss April 14, 2007

Table of Content

INTRODUCTION	3
SUMMARY OF CHANGE PROPOSAL	3
IDENTIFICATION OF THE STAKEHOLDERS	4
ADOPTION PHASES	4
IMPLEMENTATION TIMELINE	6
IMPLEMENTATION STRATEGY	6
FORCES FOR AND AGAINST THE INNOVATION:	7
APPENDICES	8
APPENDIX A:	8
APPENDIX B:	8
APPENDIX C:	9
APPENDIX D:	10

Introduction

The Diversity Committee of the Watson School of Education (WSE) within the University of North Carolina Wilmington (UNCW) was interested in discovering the current perception of "diversity" standards and initiatives among the faculty and how well and often diversity was implemented within the current curriculum. A needs assessment (NA) was conducted in order to attempt to discover information that would assist the Committee in determining optimal expectation levels for faculty members and their participation in this diversity initiative. Optimal levels of performance and diversity integration are desired to produce teachers prepared to deal with diversity related concerns in their classroom setting.

As a result of the NA, several possible solutions were proposed to improve the dissemination of ideas and the requirement related to the topic of diversity. This change management plan will address one process to implement the following potential solutions:

- Disseminate the UNCW definition of diversity.
- Provide mini awareness activities throughout the year. Attach professional development units to each activity.
- Create a newsletter to send to faculty addressing topics of diversity.

Summary of Change Proposal

The focus of this change plan will be to broaden the use and acceptance of the UNCW definition of diversity and the process to increase acceptance and awareness of diversity. The plan can only succeed if faculty gradually come on board and support this initiative. In completing the needs assessment, surveys were distributed to all faculty members, full and part time. Of the 107 distributed, only 33 were returned. Allowing for some members to be out of town, this low response rate speaks to the potential difficulty with this change implementation.

The change plan will be partially accomplished through the use of staff development tools, rewards and incentives, which will invite and entice faculty members to join in and support the cause. All faculty members are currently required to participate in yearly performance reviews. These reviews serve as tools for evaluating future promotions and/or salary increases. Active participation in various staff development activities serve as one type of positive performance recognitions in faculty personnel folders.

In order to maintain changes that are ultimately put into place, the initiatives will need to be constantly revised, accepted and embraced by the WSE faculty. However, all participants should understand that change is a continually evolving process which will not end with the implementation of one particular initiative.

Identification of the Stakeholders

The stakeholders in this initiative are also the key it's successful implementation (Appendix B). These stakeholders include the Diversity Committee, the WSE administration, the faculty, full and part time, and the students. Leading the initiative will be the members of the Diversity Committee within the WSE. The NCATE (National Council for the Accreditation of Teacher Education) Diversity Standards serve the committee as the requirements needed to satisfy the school accreditation process. By joining this committee, faculty members have already taken the position that diversity is important to the school and its product, our future teachers. The committee members can provide the leadership and guidance necessary to produce the change. Each member, however, must proceed carefully, and entice other faculty members to join the effort, so as not to appear as an unwanted authority.

The WSE administration will serve a dual role as leader and gate keeper. As a leader, administrative members can provide needed resources, funds and motivation; however, mandated rules are not often easily accepted, therefore, administrative personnel must proceed carefully.

WSE faculty will serve multiple roles based on the preliminary survey results. Faculty with four to six years of experience had the highest response rate on the recent survey and was also the most favorable to the diversity initiative. These members are important elements in the growth of the change plan, serve to attract other members and also act as "early adopters." The next group of faculty members, those with one to three years of experience, was the second largest voice in the recent survey. As new to the department and potential "innovators," they do not have a lot of power or influence. However, if committed to an idea, they can serve as a powerful influence to change. Faculty with seven to ten and those with less than one year of experience, voiced little interest in the survey and will serve as potential resisters to the change plan. It will be necessary to proceed gradually, showing them the benefits multiple times and that these initiatives will be minimally intrusive into their already busy schedules.

Lastly, the WSE students are also stakeholders in this initiative. Ultimately they are the ones who will benefit from the change and will serve as a source of encouragement to faculty members as the students grasp concepts that will ultimately improve their ability to become effective teachers.

Adoption Phases

There are six adoption phases in the implementation of the diversity initiatives. These phases include awareness, interest, evaluation, trial, adoption and integration. Strategies are incorporated within each phase to help accomplish the desired goal (Appendix C). With the large number of faculty within the WSE, it is important to remember that all members must move through each step in the process, at their own pace and without jumping ahead, in order for the adoption of the change initiative to have the highest chance of success and remain a part of the WSE.

The awareness phase is planned to "instill curiosity," inviting faculty members to seek more information on their own. During this initial phase, small, tasteful signs will be placed throughout the building, such as near the mail boxes, elevators, classrooms and in the snack shop. The signs may read *Diversity is..., Diversity assists me by ..., Diversity means to me....* These signs are not meant to answer the question but to spark interest and should change weekly. A short film for the lobby can be created simply showing key words like, *diversity, ethnicity, English as a second language, differences, special needs*, etc. This will followed up after a few weeks with a written announcement for the development of future events on diversity, all short, staff development programs offering certificates upon completion. The announcement and follow-up e-mails will invite faculty members to become involved or to come to a presentation.

The goal of the interest phase is to encourage faculty members to seek more information. Small signs placed during the awareness phase will be replaced with expanded information. *Diversity means ethnicity* or *Diversity means differences*. In the event the Diversity Committee adopts the UNCW definition of diversity, that written definition can be broken into segments and placed on the signs throughout the building. Also during this phase, invitations will be sent to all faculty members and students for an Open House. The Open House will involve a day long event in the lobby. Stations or booths will be set up promoting various proposed initiatives, such as regular discussion forums, International Day, Diversity Newsletter, resource/speaker information and the UNCW diversity definition. Each booth is manned by innovators and leaders who have joined the cause and are looking for support and/or dialogue from their fellow faculty members. Each booth is looking for help to make their plan, whether it's a newsletter or discussion forum, become a reality. Refreshments will be provided enticing members to stay and engage in conversation.

The evaluation phase is an attempt to provide information to faculty members so they may begin to see how many of the projects presented in the Open House can be of value to them in the planning of their course agenda and to encourage members to sign up for particular events. A member of the diversity newsletter team may visit a faulty member to discuss how involvement in the newsletter can not only serve as a source for course information, but also as a service reward during yearly evaluations. Diversity Committee members may invite other faculty to visit their committee meetings. Statistics can be presented via e-mail showing the rewards of diversity education to potential teachers. A faculty discussion forum can be held to review the types of activities presented in the Open House and encourage participation.

The trial phase involves support and encouragement of the new initiatives presented at the Open House and those who have joined in the process of making them happen. Again, faculty will be invited and encouraged to attend discussion forums. In these forums, present members will report their progress and problems with their particular ventures, whether newsletter, resource list, planning an International Day, etc.

The adoption phase will include further support and evaluation of the initiative. Discussion forums will continue. These forums will occur several times a month, will be facilitated by a member of the Diversity Committee, be approximately one hour in duration and are meant to be a place where faculty members are encouraged to voice their problems and successes in order to

support other members. As with each forum that takes place, each in attendance will receive certificate for staff development.

The final phase of the change initiative will be the integration phase. The desired goal at this phase is for faculty members to be familiar with the WSE definition of diversity and to be actively engaged within their departments in diversity projects which provide a continuing source of resources needed to implement diversity within the curriculum. This phase will involve the continued support from the Diversity Committee and WSE administration. The production of the newsletter should continue and responsibility be rotated among faculty members for its production. A follow-up questionnaire will be sent to all faculty members to access the usefulness of the new initiatives.

Implementation Timeline

The timeline for implementation of the above strategies is shown below and in Appendix C. Implementation of the awareness phase will begin just prior to the Fall 07 school year. Dates listed are general guidelines as a means to keeping the plan on track and may be adjusted as needed. For example, the planning of the Open House event may need to begin in August due to the scope of the task. The trial phase is given the most amount of time due to the holiday seasons and the need to fully integrate all members in the stepping stone strategies. All phases can be presented within the 2007-2008 school year; however, in order for the initiatives to truly become a normal part of the workflow within the WSE, the process may need to be repeated for several consecutive years.

•	Awareness	-	August 2007
•	Interest	-	September 2007
•	Evaluation	-	October 2007
•	Trial	-	Nov. 07 – Feb. 08
•	Adoption	-	March 2008
•	Integration	-	April 2008

Implementation Strategy

In order to increase the chances of successful implementation of the new change initiatives within the WSE, a stepping stone strategy will be implemented. The Diversity Committee, which is composed of faculty members throughout the WSE, will represent the change agent.

The first stepping stone strategy begins with the introduction of new ideas to the innovators, members of the faculty who are often committed to new ideas and willing to take a risk. This group will become familiar with the new ideas and serve as an example to other faculty members. Faculty with 1-3 or 4-6 years of experience voiced the most positive of responses on

the recent faculty survey and could be labeled as innovators. Even through the response rate was low, the data can serve as a guide to begin the implementation strategies.

The second stepping stone strategy will involve the introduction of the idea to a group of potential resistors within the faculty. Each resistor that is "converted" to the new ideas will assist in bringing more into the accepted community. Demonstrations and the discussions are designed to show to this group that the new ideas are meant to enhance the existing system not break it down or cause more problems.

The third stepping stone will bring the new ideas to the leaders, the WSE administration, department chairs and other identified key faculty. This group can as serve a stimulus in directing others in the initiatives, along with providing resources, financial and motivational in terms of year's evaluations.

The final step four has the leaders demonstrating, involving, committing themselves and remaining faculty members to the cause.

Forces For and Against the Innovation:

As with any new initiative, there are positive and negative forces which affect the implementation of the proposed change. Forces in favor of the change will include the NCATE Diversity Standards, the Diversity Committee, WSE Administration, students and innovative faculty. These groups or individuals will serve as the primary guiding force for the change initiative. NCATE standards serve as guidelines which cannot be altered. Opinions of all other positive forces can be altered to some extent and are therefore important to a positive outcome. In the recent survey, the majority of responding faculty reported diversity was important in the preparation of student to become effective teachers and were interested in learning more about diversity implementation.

Faculty members, however, are also a force against the innovation, as shown by the 31% return rate to the recent survey questionnaires. Their job requirements expand daily and many probably feel their day is already too full, therefore, it will be important to recruit these members slowly and methodically for a successful outcome.

Appendices

Appendix A:

Stage	Planned Change
0	The Diversity Committee in the WSE voices concern that diversity is not being
	sufficiently addressed within the curriculum.
1	Establish a connection between those inside and outside the WSE who can
	facilitate a solution being implemented successfully
2	Define the problem as related to the organization (NA)
3	Identify resources and constraints (Who are they? How to access/overcome.)
4	Identify solutions and prioritize
5	Implement solutions
6	Stabilize solutions and secure it's role in the future

Appendix B:

Identification of Key People and Stepping Stone Strategy				
Name	Role in Innovation	Constraints/Resources	Stepping Stone Strategy	
Diversity	Leader	Resource: Can provide		
Committee		leadership and guidance to		
		produce change Constraint:		
		Must proceed carefully in order		
		to not appear as unwanted		
		authority among faculty		
		members		
WSE	Leader and	Resource: Can provide needed	Step 3 and 4: Bring ideas	
Administration	Gate Keeper	resources and motivation	to this group and allow	
		Constraint: Mandated rules are	them to lead the way to	
		often not accepted easily	the acceptance of new	
			ideas	
Faculty	Leader	Resource: Respected by fellow	Step 3 and 4	
		faculty members;		
		Constraint: Not the first to try		
		new ideas; if idea not accepted		
		with this group, other may not		
	T	come one board		
Faculty	Innovator	Resource: Committed to new	Step 1: Introduce ideas to	
		ideas and willing to take a risk	this group, have them	
		Constraint: May not be	become familiar with it's	
		accepted as agent for change if	use and represent	
		have been committed to too	themselves as an example	
		many "new ideas" in the past	for the WSE and	
			demonstrate the concept	

			to others.
Faculty	Resister	Resource: Each <i>resister</i> that is	Step 2: Demonstrate and
		converted with assist in bringing	answer questions from
		new resisters into the accepted	this group, to show
		community	change does not break
		Constraint: If a couple of	down or break apart
		resisters turn against the change,	current system; change
		they may influence others in	meant to enhance current
		their group not to come on board	system
		with the change.	

Appendix C:

	Strategies for Each Phase of Adoption				
Awareness	Interest	Evaluation	Trial	Adoption	Integration
Aug 07	Sept 07	Oct 07	Nov – Feb 08	March 08	April 08
Signs	Replace signs	DC invites	Discussion	Continued	Encouragement
throughout	with more	members to	forums to	support	from DC
building	detailed ones:	attend	promote ideas	through	
provoking		meetings	of early	discussion	
interest:	Diversity		adopters	forums and	
	means			follow-up	
Diveristy is	differences.			visits	
Diversity	Diversity				
means	means				
	ethnicity.				
Create video	Follow-up	Current	Try out ideas	Provide	Encourage
of key	announcement	committee	from open	resources	other faculty to
diversity	defining	members	house	for those	rotate on
words for film	events: Open	visit faculty		having	newsletter staff
screen in	House	member not		trouble	
lobby		currently			
		involved			
Written	Open house	Provide	Encourage		Follow-up
announcement	"ideas"	statistics to	faculty with		questionnaire
for events	booths;	faculty	follow – up		to faculty
planning:	faculty	regarding	announcements;		
	feedback on	potential	did you get any		
Want to get	possible ideas	diversity	new ideas		
involved?	to come	issues new			
		teachers are			
		faced with			
		within this			
		state			

E-mail:	Discussion		
	forum		
Diversity's			
comingdon't			
<i>comingdon't</i> <i>forget to check</i>			
your mail			

Appendix D:

Identification of Common Things Within the Organization				
Forces Favoring	Forces Against	Importance to System and	Can be Altered?	
Innovation	Innovation	People		
NCATE Diversity		Very important, source of	No	
Standards		example		
Faculty with 4-6		Very important; voiced	Already on board;	
years experience		majority interest during	important to	
		survey; may serve as leaders	maintain motivation	
		to change initiative	from this group	
	Faculty with 7-10	Very important; voiced little	Difficult; proceed	
	years experience	interest during survey; may	slowly; gradually	
		serve as resisters to change	show positive	
		initiative	benefits of change	
			initiative	
Faculty with 1-3		Very important; 2 nd largest	Somewhat on board;	
years experience		group to voice interest during	may need more	
		survey; needed to bring other	reinforcement as to	
		faculty members into change	reasons for change	
		initiative		
	Faculty with < 1	Very important; voiced little	Yes, new to job	
	year experience	interest during survey; may	experiences and still	
		serve as resisters to change	learning routine; can	
		initiative	be influenced by	
			other faculty,	
			potentially either	
			way.	
Diversity		Very important; serve as a	Possibly, depending	
Committee		guiding faculty force; may be	on make-up of	
		perceived as a mandating	committee and their	
		body	acceptance by the	
			general faculty	
WSE		Very important; serve as a	Little: Need for	
Administration		guiding faculty force; may be	WSE faculty to	
		perceived as a mandating	conform to standards	
		body	and serve as a model	

Students		Benefit from the results of	for turning out excellent teachers for the state Yes, students should
		change; source of encouragement to some faculty as students grasp concepts and implement them as future teachers	be encouraged and positive as long as they see a benefit
The majority of responding faculty feel it is important to incorporate and prepare students in diversity		Very important; reported feelings from 90% of responding faculty	Already on board; initiatives must continue to support feelings regarding topic
The majority of faculty want to learn about implementing diversity		Very important for implementation and success of solutions; 75.8% of responding faculty	Already on board; initiatives must continue to support feelings regarding topic
	31% of distributed surveys return by faculty	Very important; suggests large number of faculty are not interested	No; change initiative will need to be slow and gradual, providing incentives and rewards
	Faculty already have much to do	Very important; initiatives will need to be small and frequent	No; change initiative will need to be slow and gradual, providing incentives and rewards